

Attorney Docket: 27269U

MAIL STOP PCT

U.S. Department Of Commerce, Patent And Trademark Office		Date: 22 March 2006 (22.03.2006)
Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371		U.S. Application No.: (if known): No. Not Assigned 10/572933
International Application No.: PCT/FR2004/002424	International Filing Date: 24 September 2004 (24.09.2004)	Priority Date Claimed: 26 September 2003 (26.09.2003) FR-03 11325
Title of Invention: TOCOPHEROL DERIVATIVES WITH A LONG HYDROXYLATED CHAIN, WHICH CAN BE USED AS NEUROTROPHICS		
Applicants for DO/EO/US: LUU, Bang HEUSCHLING, Paul MULLER, Thierry MORGAN, Eleonora		
Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):</p> <p>a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input checked="" type="checkbox"/> A English translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
ITEMS 11 to 20 BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:		
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. The assignment is in favor of UNIVERSITE LOUIS PASTEUR, 4 rue Blaise Pascal, F-67000 STRASBOURG, FRANCE; CENTRAL NATIONAL DE LA RECHERCHE SCIENTIFIQUE, 3 rue Michel Ange, F-75794 PARIS CEDEX 16, FRANCE; and UNIVERSITE DU LUXEMBOURG 162a avenue de la Faiencerie, L-1511 LUXEMBOURG-LIMPERTSBERG, LUXEMBOURG.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 USC 1821 - 1825</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 USC 154(d)(4)</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 USC 154(d)(4)</p> <p>20. <input checked="" type="checkbox"/> Other items or information:</p> <p>TRANSMITTAL LETTER; INTERNATIONAL PUBLICATION NUMBER: WO 2005/030748 A1, INTERNATIONAL PUBLICATION DATE: 7 April 2005 (07.04.2005), WITH THE ENGLISH TRANSLATION CONSISTING OF 27 PAGES: 22 PAGES OF TEXTUAL SPECIFICATION, 5 PAGES OF 17 CLAIMS, 0 PAGE OF THE ABSTRACT, 0 SHEETS OF DRAWINGS; PRELIMINARY AMENDMENT WITH ATTACHMENT A AND B TO BE EXAMINED; EXECUTED DECLARATION AND POWER OF ATTORNEY; ASSIGNMENT WITH RECORDATION COVER SHEET TO UNIVERSITE LOUIS PASTEUR, 4 rue Blaise Pascal, F-67000 STRASBOURG, FRANCE; CENTRAL NATIONAL DE LA RECHERCHE SCIENTIFIQUE, 3 rue Michel Ange, F-75794 PARIS CEDEX 16, FRANCE; and UNIVERSITE DU LUXEMBOURG 162a avenue de la Faiencerie, L-1511 LUXEMBOURG-LIMPERTSBERG, LUXEMBOURG; INFORMATION DISCLOSURE STATEMENT WITH 3 CITED REFERENCES; FORM PCT/ISA/237; FORM PCT/IB304; PCT/IB/308; POSTCARD FOR EARLY NOTIFICATION OF THE SERIAL NUMBER REQUEST.</p>		

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<p>The following fees are submitted:</p> <table border="1"> <tr> <td>21. Basic National Fee (37 CFR 1.492(a))</td> <td>\$ 300.00</td> <td rowspan="2"><u>CALCULATIONS</u></td> <td rowspan="2"><u>PTO USE ONLY</u></td> </tr> <tr> <td>22. Examination Fee (37 CFR 1.492 (c)) If the written opinion prepared by ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)</td> <td>\$ 0.00</td> </tr> <tr> <td>All other situations</td> <td>\$ 200.00</td> <td rowspan="2">\$ 200.00</td> <td rowspan="2"></td> </tr> <tr> <td>23. Search Fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$ 0.00 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority</td> <td>\$ 100.00</td> </tr> <tr> <td>International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB</td> <td>\$ 400.00</td> <td rowspan="2">\$ 400.00</td> <td rowspan="2"></td> </tr> <tr> <td>All other situations</td> <td>\$ 500.00</td> </tr> <tr> <td colspan="4"> <p>— Additional fee for specification and drawings filed in paper over 100 sheets (excluding Sequence listing or computer program listing filed in an electronic medium). The fee is \$ 250.00 for each additional 50 sheets of paper or fraction thereof.</p> <p>ENTER APPROPRIATE BASIC FEE AMOUNT =</p> </td> </tr> <tr> <td colspan="3"></td> <td>\$ 900.00</td> </tr> </table>			21. Basic National Fee (37 CFR 1.492(a))	\$ 300.00	<u>CALCULATIONS</u>	<u>PTO USE ONLY</u>	22. Examination Fee (37 CFR 1.492 (c)) If the written opinion prepared by ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)	\$ 0.00	All other situations	\$ 200.00	\$ 200.00		23. Search Fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$ 0.00 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority	\$ 100.00	International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB	\$ 400.00	\$ 400.00		All other situations	\$ 500.00	<p>— Additional fee for specification and drawings filed in paper over 100 sheets (excluding Sequence listing or computer program listing filed in an electronic medium). The fee is \$ 250.00 for each additional 50 sheets of paper or fraction thereof.</p> <p>ENTER APPROPRIATE BASIC FEE AMOUNT =</p>							\$ 900.00																											
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U.S. Application Number: (if known): Not Yet Assigned 10/572933	International Application Number: PCT/FR2004/002424	Date: <u>22</u> March 2006 (22.03.2006)
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- a. One check in the amount of \$ 1140.00 to cover the above fees is enclosed.
- b. Please charge my Deposit Account Number: 14-0112 in the amount of \$ _____ to cover the above fees. (A duplicate copy of this sheet is enclosed.)
- c. The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account Number: 14-0112.
- d. Fees are to be charged to a credit card WARNING: Information on this form may become public Credit Card Information should not be included on this form.
 Provide credit card information and authorization on PTO-2038

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

Send All Correspondence To:

Gary M. Nath
NATH & ASSOCIATES PLLC
112 South West Street,
Alexandria, VA 22314
Tel: 1-703-548-6284
Fax: 1-703-683-8396



Gary M. Nath, Reg. No. 26,965
Tanya E. Harkins, Reg. No. 52,993
Customer No. 20529

10/572933

IAPG Rec'd PCT/PTO 22 MAR 2005

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Attorney Docket: 27269U

Date: March 22 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

LUU et al.

Serial No.: Not Yet Assigned

Filed: March 22 2006

Internat'l. Appl. No.: PCT/FR2004/002424

Internat'l. Filing Date: 24 September 2004 (24.09.2004)

Title: TOCOPHEROL DERIVATIVES WITH A LONG HYDROXYLATED CHAIN, WHICH CAN BE USED AS NEUROTROPHICS

TRANSMITTAL LETTER

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- 1) Transmittal Letter;
- 2) Transmittal Letter to U.S. Designated/Elected Office (DO/EO/US) Concerning Filing under 35 U.S.C. 371;
- 3) International Publication Number: WO 2005/030748 A1; International Publication Date: 7 April 2005 (07.4.2005), with the English translation which consists of 27 pages:
22 pages of Textual Specification,
5 pages of 17 Claims,
0 sheets of the Abstract,
0 sheets of Drawings;
- 4) Preliminary Amendment with Attachment A and B to be examined;
- 5) Executed Declaration and Power of Attorney;
- 6) Executed Assignment with Recordation Cover Sheet to: UNIVERSITE LOUIS PASTEUR, 4 rue Blaise Pascal, F-67000 STRASBOURG, FRANCE; CENTRAL NATIONAL DE LA RECHERCHE SCIENTIFIQUE, 3 rue Michel Ange, F-75794 PARIS CEDEX 16, FRANCE, and UNIVERSITE DU LUXEMBOURG 162a avenue de la Faïencerie, L-1511 LUXEMBOURG-LIMPERTSBERG, LUXEMBOURG;
- 7) Information Disclosure Statement;
- 8) PTO Form 1449 with 3 cited references;
- 9) Form PCT/ISA/237;
- 10) PCT/IB/304;
- 11) PCT/IB/308;
- 12) Copy of 2 of the 3 cited references;
- 13) Check No.: 1864 in the Amount of \$ 1140.00 for the Basic Filing Fee, which includes the Search, and Examination Fees (\$900) and 1 independent claim in excess of 3 (\$200.00), as a Large Entity, and the Fee for Recordation of the Assignment (\$40);
- 14) Postcard for Early Notification of the Serial Number Request.

Respectfully submitted,
NATH & ASSOCIATES PLLC

By:


Gary M. Nath, Reg. No. 26,965
Tanya E. Harkins, Reg. No. 52,993
Customer No. 20529

NATH & ASSOCIATES PLLC
112 South West Street,
Alexandria, VA 22314
GMN/TEH/SW (NATLPHASE.APPLICATION)